

<b>EMPLOYMENT AND TRAINING ADMINISTRATION</b> <b>ADVISORY SYSTEM</b> <b>U.S. DEPARTMENT OF LABOR</b> <b>Washington, D.C. 20210</b>	<b>CLASSIFICATION</b> WIOA - DW
	<b>CORRESPONDENCE SYMBOL</b> OWI
	<b>DATE</b> March 31, 2021

**ADVISORY: TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 15-20**

**TO:** STATE WORKFORCE AGENCIES  
STATE WORKFORCE LIAISONS

**FROM:** SUZAN G. LEVINE   
Principal Deputy Assistant Secretary

**SUBJECT:** Reallocation of Workforce Innovation and Opportunity Act (WIOA) Title I Formula-Allotted Funds for Dislocated Worker (DW) Activities for Program Year (PY) 2020

1. **Purpose.** To provide adjusted funding levels for PY 2020 allotments for the DW program under WIOA Title I Section 132(c) on recapture and reallocation.
2. **Action Requested.** Distribute this guidance letter to the appropriate WIOA staff.
3. **Summary and Background.**
  - a. Summary – This document provides grantees with notification and guidance associated with recapture and reallocation of DW funding from PY 2020 allotments.
  - b. Background – WIOA Sections 127(c) and 132(c) require the Secretary of Labor (Secretary) to conduct reallocation of excess unobligated WIOA Adult, Youth, and DW formula funds based on ETA 9130 financial reports submitted at the end of the prior program year. Training and Employment Guidance Letter (TEGL) No. 16-18, announcing the DW allotment levels for PY 2019, alerted states to the recapture and reallocation of funds provisions, and notified states that any recapture/reallocation of funds based on obligation of PY 2019 DW funding would impact PY 2020 DW allotments.

In the FY 2020 Appropriations Act, Congress appropriated DW PY 2020 funds in two portions: 1) funds available for obligation July 1, 2020 (i.e., PY 2020 “base” funds), and 2) funds available for obligation October 1, 2020 (i.e., FY 2021 “advance” funds). Together, these two portions make up the total PY 2020 WIOA funding. TEGL No. 16-19 announced the DW allotment levels for PY 2020.

<b>RESCISSIONS</b> None	<b>EXPIRATION DATE</b> Continuing
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#### **4. Recapture and Reallotment.**

- a. Recapture and Reallotment Requirement. The WIOA regulations at 20 CFR 683.135 describes the procedures the Secretary uses for recapture and reallotment of funds. ETA will not recapture any PY 2020 funds for the Adult and Youth programs because there is no state where PY 2019 unobligated funds exceeds 20 percent of the allotment for that program year for those programs. However, for the DW program, Kentucky, Nevada, Puerto Rico, and West Virginia had unobligated PY 2019 funds in excess of 20 percent of their allotments. Therefore, ETA will recapture a total of \$4,993,277 from PY 2020 funding from Kentucky, Nevada, Puerto Rico, and West Virginia and reallot those funds to the remaining eligible states, as required by WIOA Section 132(c).
- b. Notice of Award (NOA) Adjustment. ETA will issue NOAs for the states to reflect the recapture and reallotment of these funds. The adjustment of funds will be made to the FY 2021 advance portion of the PY 2020 allotments, which ETA issued in October 2020. The attached tables display the changes to PY 2020 formula allotments and a description of the reallotment methodology.
- c. Procedures and Reporting. Neither WIOA statutory language nor WIOA regulatory language provides specific requirements by which states must distribute reallotted funds, so states have flexibility to determine the methodology used. For any state subject to recapture of funds, WIOA Section 132(c)(5) requires the Governor to prescribe equitable procedures for reacquiring funds from the state and local areas.

As described above, the recapture/reallotment adjustments will be made to the FY 2021 advance portion of the PY 2020 allotment. Therefore, for reporting purposes, states must reflect the recapture/reallotment amount (decrease or increase) in the “Total Federal Funds Authorized” line of any affected FY 2021 ETA 9130 financial reports (State Dislocated Worker Activities, Statewide Rapid Response, Local Dislocated Worker Activities) in a manner consistent with the method of distribution of these amounts to state and local areas used by the state. The state must include an explanation of the adjustment in the remarks section of the adjusted reports.

5. **Inquiries.** Please direct questions regarding the revised allotments to the appropriate regional office.

#### **6. References.**

- Further Consolidated Appropriations Act, 2020, Public Law (P.L.) 116-94 FY 2020 Appropriations Act);
- WIOA, Section 132(c), P.L. 113-128, 29 U.S.C. 3172(c);
- WIOA Regulations at 20 CFR 683.135;
- TEGL No. 16-19, *Workforce Innovation and Opportunity Act (WIOA) Adult, Dislocated Worker and Youth Activities Program Allotments for Program Year (PY) 2020; PY 2020 Allotments for the Wagner-Peyser Act Employment Service (ES)*

- Program and the Allotments of Workforce Information Grants to States for PY 2020;*  
and
- *TEGL No. 16-18, Workforce Innovation and Opportunity Act (WIOA) Adult, Dislocated Worker and Youth Activities Program Allotments for Program Year (PY) 2019; Final PY 2019 Allotments for the Wagner-Peyser Act Employment Service (ES) Program Allotments; and the Allotments of Workforce Information Grants to States for PY 2019.*

**7. Attachments.**

- Attachment I - WIOA Dislocated Worker Activities, PY 2020 Reallotment to States
- Attachment II - WIOA Dislocated Worker Activities, PY 2020 Revised Allotments with Reallotment – PY/FY Split

**U.S. DEPARTMENT OF LABOR  
Employment and Training Administration  
WIOA Dislocated Worker Activities  
PY 2020 Reallotment to States**

	Calculating Reallotment Amount*			Impact on PY 2020 Allotments		
	Excess Unobligated PY 2019 Funds to be Recaptured from PY 2020 funds	Eligible States <sup>1/</sup> PY 2019 <sup>1/</sup> Dislocated Worker Allotments	Reallotment Amount for Eligible States (Based on eligible states' share of PY 2019 Allotments)	Total Original PY 2020 Allotments Before Reallotment	Recapture/ Reallotment Adjustment to PY 2020 Allotments	Revised Total PY 2020 Allotments
Alabama	0	18,361,881	97,223	17,387,399	97,223	17,484,622
Alaska	0	6,412,959	33,955	8,421,655	33,955	8,455,610
Arizona **	0	30,330,562	160,594	39,830,842	160,594	39,991,436
Arkansas	0	6,238,880	33,034	6,061,513	33,034	6,094,547
California	0	148,077,038	784,039	142,073,567	784,039	142,857,606
Colorado	0	10,076,913	53,355	9,986,612	53,355	10,039,967
Connecticut	0	14,209,646	75,237	13,611,948	75,237	13,687,185
Delaware	0	2,410,156	12,761	2,343,949	12,761	2,356,710
District of Columbia	0	8,460,348	44,796	11,110,338	44,796	11,155,134
Florida	0	52,296,583	276,900	50,853,493	276,900	51,130,393
Georgia	0	38,622,811	204,500	36,871,224	204,500	37,075,724
Hawaii	0	1,609,621	8,523	1,618,611	8,523	1,627,134
Idaho	0	1,963,150	10,394	1,962,590	10,394	1,972,984
Illinois	0	59,630,195	315,730	56,663,539	315,730	56,979,269
Indiana	0	13,705,344	72,567	13,347,305	72,567	13,419,872
Iowa	0	4,129,889	21,867	4,077,392	21,867	4,099,259
Kansas	0	4,634,063	24,536	4,595,051	24,536	4,619,587
Kentucky	2,697,332	0	0	16,051,059	(2,697,332)	13,353,727
Louisiana	0	21,278,087	112,663	20,371,329	112,663	20,483,992
Maine	0	2,607,188	13,805	2,562,857	13,805	2,576,662
Maryland	0	15,311,178	81,070	15,019,525	81,070	15,100,595
Massachusetts	0	15,818,320	83,755	15,428,753	83,755	15,512,508
Michigan	0	28,980,249	153,445	28,103,101	153,445	28,256,546
Minnesota	0	8,647,015	45,784	8,623,882	45,784	8,669,666
Mississippi	0	12,860,111	68,092	16,877,853	68,092	16,945,945
Missouri	0	13,772,288	72,922	13,271,254	72,922	13,344,176
Montana	0	1,590,642	8,422	1,589,906	8,422	1,598,328
Nebraska	0	2,412,598	12,774	2,430,569	12,774	2,443,343
Nevada	466,433	0	0	13,341,178	(466,433)	12,874,745
New Hampshire	0	1,781,465	9,433	1,776,875	9,433	1,786,308
New Jersey	0	31,256,773	165,498	29,962,189	165,498	30,127,687
New Mexico **	0	17,835,113	94,433	18,082,636	94,433	18,177,069
New York	0	50,974,953	269,902	50,005,712	269,902	50,275,614
North Carolina	0	29,197,023	154,592	28,414,511	154,592	28,569,103
North Dakota	0	827,923	4,384	827,550	4,384	831,934
Ohio	0	38,733,523	205,086	37,181,539	205,086	37,386,625
Oklahoma	0	7,602,403	40,253	7,437,134	40,253	7,477,387
Oregon	0	11,287,889	59,767	11,019,838	59,767	11,079,605
Pennsylvania	0	51,213,615	271,166	48,858,998	271,166	49,130,164
Puerto Rico	449,019	0	0	76,202,126	(449,019)	75,753,107
Rhode Island	0	3,976,659	21,056	3,806,076	21,056	3,827,132
South Carolina	0	14,948,152	79,148	14,268,943	79,148	14,348,091
South Dakota	0	1,181,022	6,253	1,190,973	6,253	1,197,226
Tennessee	0	18,224,532	96,495	17,478,205	96,495	17,574,700
Texas	0	61,217,636	324,135	59,820,885	324,135	60,145,020
Utah **	0	4,331,085	22,932	4,261,672	22,932	4,284,604
Vermont	0	868,574	4,599	843,187	4,599	847,786
Virginia	0	13,864,434	73,409	13,694,749	73,409	13,768,158
Washington	0	26,722,692	141,491	26,957,248	141,491	27,098,739
West Virginia	1,380,493	0	0	12,852,260	(1,380,493)	11,471,767
Wisconsin	0	11,469,731	60,730	11,212,132	60,730	11,272,862
Wyoming	0	1,090,070	5,772	1,069,268	5,772	1,075,040
<b>STATE TOTAL</b>	<b>\$4,993,277</b>	<b>\$943,052,982</b>	<b>\$4,993,277</b>	<b>\$1,051,713,000</b>	<b>\$0</b>	<b>\$1,051,713,000</b>

\* Including prior year recapture/reallotment amounts

\*\* Includes funds allocated to the Navajo Nation

<sup>1/</sup> PY 2019 allotment amounts are used to determine the reallotment amount eligible states receive of the recaptured amount.

U.S. DEPARTMENT OF LABOR  
Employment and Training Administration  
WIOA Dislocated Worker Activities  
PY 2020 Revised Allotments with Reallotment - PY/FY Split

	Total Allotment			Available 7/1/20			Available 10/1/20		
	Original	Recapture/ Reallotment	Revised	Original	Recapture/ Reallotment	Revised	Original	Recapture/ Reallotment	Revised
Alabama	17,387,399	97,223	17,484,622	3,175,108	-	3,175,108	14,212,291	97,223	14,309,514
Alaska	8,421,655	33,955	8,455,610	1,537,876	-	1,537,876	6,883,779	33,955	6,917,734
Arizona *	39,830,842	160,594	39,991,436	7,273,498	-	7,273,498	32,557,344	160,594	32,717,938
Arkansas	6,061,513	33,034	6,094,547	1,106,891	-	1,106,891	4,954,622	33,034	4,987,656
California	142,073,567	784,039	142,857,606	25,944,012	-	25,944,012	116,129,555	784,039	116,913,594
Colorado	9,986,612	53,355	10,039,967	1,823,652	-	1,823,652	8,162,960	53,355	8,216,315
Connecticut	13,611,948	75,237	13,687,185	2,485,674	-	2,485,674	11,126,274	75,237	11,201,511
Delaware	2,343,949	12,761	2,356,710	428,028	-	428,028	1,915,921	12,761	1,928,682
District of Columbia	11,110,338	44,796	11,155,134	2,028,856	-	2,028,856	9,081,482	44,796	9,126,278
Florida	50,853,493	276,900	51,130,393	9,286,341	-	9,286,341	41,567,152	276,900	41,844,052
Georgia	36,871,224	204,500	37,075,724	6,733,043	-	6,733,043	30,138,181	204,500	30,342,681
Hawaii	1,618,611	8,523	1,627,134	295,574	-	295,574	1,323,037	8,523	1,331,560
Idaho	1,962,590	10,394	1,972,984	358,388	-	358,388	1,604,202	10,394	1,614,596
Illinois	56,663,539	315,730	56,979,269	10,347,312	-	10,347,312	46,316,227	315,730	46,631,957
Indiana	13,347,305	72,567	13,419,872	2,437,347	-	2,437,347	10,909,958	72,567	10,982,525
Iowa	4,077,392	21,867	4,099,259	744,571	-	744,571	3,332,821	21,867	3,354,688
Kansas	4,595,051	24,536	4,619,587	839,101	-	839,101	3,755,950	24,536	3,780,486
Kentucky	16,051,059	(2,697,332)	13,353,727	2,931,079	-	2,931,079	13,119,980	(2,697,332)	10,422,648
Louisiana	20,371,329	112,663	20,483,992	3,720,002	-	3,720,002	16,651,327	112,663	16,763,990
Maine	2,562,857	13,805	2,576,662	468,003	-	468,003	2,094,854	13,805	2,108,659
Maryland	15,019,525	81,070	15,100,595	2,742,711	-	2,742,711	12,276,814	81,070	12,357,884
Massachusetts	15,428,753	83,755	15,512,508	2,817,440	-	2,817,440	12,611,313	83,755	12,695,068
Michigan	28,103,101	153,445	28,256,546	5,131,899	-	5,131,899	22,971,202	153,445	23,124,647
Minnesota	8,623,882	45,784	8,669,666	1,574,805	-	1,574,805	7,049,077	45,784	7,094,861
Mississippi	16,877,853	68,092	16,945,945	3,082,060	-	3,082,060	13,795,793	68,092	13,863,885
Missouri	13,271,254	72,922	13,344,176	2,423,460	-	2,423,460	10,847,794	72,922	10,920,716
Montana	1,589,906	8,422	1,598,328	290,332	-	290,332	1,299,574	8,422	1,307,996
Nebraska	2,430,569	12,774	2,443,343	443,845	-	443,845	1,986,724	12,774	1,999,498
Nevada	13,341,178	(466,433)	12,874,745	2,436,229	-	2,436,229	10,904,949	(466,433)	10,438,516
New Hampshire	1,776,875	9,433	1,786,308	324,475	-	324,475	1,452,400	9,433	1,461,833
New Jersey	29,962,189	165,498	30,127,687	5,471,387	-	5,471,387	24,490,802	165,498	24,656,300
New Mexico *	18,082,636	94,433	18,177,069	3,302,065	-	3,302,065	14,780,571	94,433	14,875,004
New York	50,005,712	269,902	50,275,614	9,131,528	-	9,131,528	40,874,184	269,902	41,144,086
North Carolina	28,414,511	154,592	28,569,103	5,188,766	-	5,188,766	23,225,745	154,592	23,380,337
North Dakota	827,550	4,384	831,934	151,119	-	151,119	676,431	4,384	680,815
Ohio	37,181,539	205,086	37,386,625	6,789,710	-	6,789,710	30,391,829	205,086	30,596,915
Oklahoma	7,437,134	40,253	7,477,387	1,358,093	-	1,358,093	6,079,041	40,253	6,119,294
Oregon	11,019,838	59,767	11,079,605	2,012,329	-	2,012,329	9,007,509	59,767	9,067,276
Pennsylvania	48,858,998	271,166	49,130,164	8,922,127	-	8,922,127	39,936,871	271,166	40,208,037
Puerto Rico	76,202,126	(449,019)	75,753,107	13,915,248	-	13,915,248	62,286,878	(449,019)	61,837,859
Rhode Island	3,806,076	21,056	3,827,132	695,026	-	695,026	3,111,050	21,056	3,132,106
South Carolina	14,268,943	79,148	14,348,091	2,605,647	-	2,605,647	11,663,296	79,148	11,742,444
South Dakota	1,190,973	6,253	1,197,226	217,483	-	217,483	973,490	6,253	979,743
Tennessee	17,478,205	96,495	17,574,700	3,191,690	-	3,191,690	14,286,515	96,495	14,383,010
Texas	59,820,885	324,135	60,145,020	10,923,874	-	10,923,874	48,897,011	324,135	49,221,146
Utah*	4,261,672	22,932	4,284,604	778,223	-	778,223	3,483,449	22,932	3,506,381
Vermont	843,187	4,599	847,786	153,974	-	153,974	689,213	4,599	693,812
Virginia	13,694,749	73,409	13,768,158	2,500,794	-	2,500,794	11,193,955	73,409	11,267,364
Washington	26,957,248	141,491	27,098,739	4,922,655	-	4,922,655	22,034,593	141,491	22,176,084
West Virginia	12,852,260	(1,380,493)	11,471,767	2,346,947	-	2,346,947	10,505,313	(1,380,493)	9,124,820
Wisconsin	11,212,132	60,730	11,272,862	2,047,444	-	2,047,444	9,164,688	60,730	9,225,418
Wyoming	1,069,268	5,772	1,075,040	195,259	-	195,259	874,009	5,772	879,781
<b>STATE TOTAL</b>	<b>1,051,713,000</b>	<b>-</b>	<b>1,051,713,000</b>	<b>192,053,000</b>	<b>-</b>	<b>192,053,000</b>	<b>859,660,000</b>	<b>-</b>	<b>859,660,000</b>

\* Includes funds allocated to the Navajo Nation